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PATENT 1-28-02
P.2

Attorney Docket No.: SALK2940 (088802-8051)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Schiffer, et al.

Title: KAINATE RECEPTOR SUBUNIT
GLUR7 POLYMORPHISMS FOR
DIAGNOSING PREDISPOSITION
AND FOR THERAPY OF MOOD
DISORDERS

Appl. No.: 09/854,140

Filing Date: 05/11/2001

Examiner: Unassigned

Art Unit: 1632

CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date below. <i>Pridge McDougall</i> (Printed Name) <i>Pridge McDougall</i> (Signature) November 5, 2001 (Date of Deposit)

TRANSMITTALCommissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for the above-identified application please find:

- (1) Information Disclosure Statement;
- (2) Completed Form PTO-1449;
- (3) Copies of U.S. patents (3) and scientific publications (4); and
- (4) Postcard

No fee is believed due for this communication. The Commissioner is hereby authorized to charge any other fees that may be required by this paper, or credit any overpayment, to Deposit Account No. 50-0872.

Respectfully submitted,

Date: November 5, 2001

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Atty. Dkt. No. 088802-8051

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Schiffer, et al.

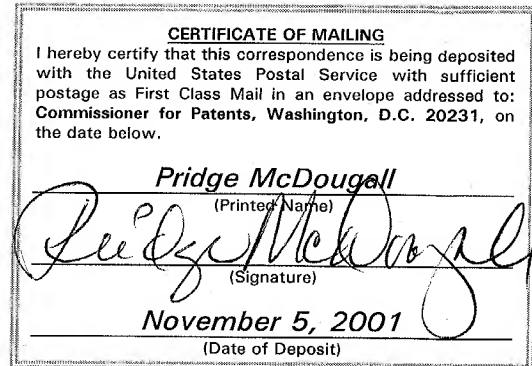
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INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

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TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Respectfully submitted,

Date: November 5, 2001

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